

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2002</h2> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision.</p>		Complete if Known	
TOTAL AMOUNT OF PAYMENT (\$) 493.00		Application Number	Filing Date 12/21/01
		First Named Inventor	Edward L. Boudreau
		Examiner Name	
		Group Art Unit	
		Attorney Docket Number	5892P001

<p>METHOD OF PAYMENT (check one)</p> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number 02-2666</p> <p>Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p style="text-align: center;">FEE CALCULATION</p> <p>1. FILING FEE</p> <table style="width: 100%; font-size: small;"> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <td>101</td> <td>201</td> <td>740 370</td> <td></td> <td>Utility filing fee</td> <td>\$370</td> </tr> <tr> <td>106</td> <td>206</td> <td>330 165</td> <td></td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>107</td> <td>207</td> <td>510 255</td> <td></td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>108</td> <td>208</td> <td>740 370</td> <td></td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>114</td> <td>214</td> <td>160 80</td> <td></td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (1)</td> <td>(\$) 370.00</td> </tr> </table> <p>2. EXTRA CLAIM FEES</p> <table style="width: 100%; font-size: small;"> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> <tr> <td>29</td> <td>-20** = 9</td> <td>X \$9.00 =</td> <td>81.00</td> </tr> <tr> <td>4</td> <td>-3** = 1</td> <td>X \$42.00 =</td> <td>42.00</td> </tr> <tr> <td colspan="3"></td> <td></td> </tr> </table> <p>Multiple Dependent</p> <table style="width: 100%; font-size: small;"> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <td>103</td> <td>203</td> <td>18 9</td> <td></td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td>102</td> <td>202</td> <td>84 42</td> <td></td> <td>Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>104</td> <td>204</td> <td>280 140</td> <td></td> <td>Multiple Dependent claim</td> <td></td> </tr> <tr> <td>109</td> <td>209</td> <td>84 42</td> <td></td> <td>**Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>110</td> <td>210</td> <td>18 9</td> <td></td> <td>**Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (2)</td> <td>(\$) 123.00</td> </tr> </table> <p style="font-size: x-small;">*or number of previously paid, if greater, For Reissues, see above</p>	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	101	201	740 370		Utility filing fee	\$370	106	206	330 165		Design filing fee		107	207	510 255		Plant filing fee		108	208	740 370		Reissue filing fee		114	214	160 80		Provisional filing fee		SUBTOTAL (1)					(\$) 370.00	Total Claims	Extra Claims	Fee from below	Fee Paid	29	-20** = 9	X \$9.00 =	81.00	4	-3** = 1	X \$42.00 =	42.00					Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	103	203	18 9		Claims in excess of 20		102	202	84 42		Independent claims in excess of 3		104	204	280 140		Multiple Dependent claim		109	209	84 42		**Reissue independent claims over original patent		110	210	18 9		**Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)					(\$) 123.00	<p>3. ADDITIONAL FEE</p> <table style="width: 100%; font-size: small;"> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <td>105</td> <td>205</td> <td>130 65</td> <td></td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127</td> <td>227</td> <td>50 25</td> <td></td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>239</td> <td>130 130</td> <td></td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>247</td> <td>2,520 2,520</td> <td></td> <td>For filing a request for <i>ex parte</i> reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>212</td> <td>920 920</td> <td></td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>213</td> <td>1,840 1,840</td> <td></td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>215</td> <td>110 55</td> <td></td> <td>Extension for response within first month</td> <td></td> </tr> <tr> <td>116</td> <td>216</td> <td>400 200</td> <td></td> <td>Extension for response within second month</td> <td></td> </tr> <tr> <td>117</td> <td>217</td> <td>920 460</td> <td></td> <td>Extension for response within third month</td> <td></td> </tr> <tr> <td>118</td> <td>218</td> <td>1,440 720</td> <td></td> <td>Extension for response within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>228</td> <td>1,960 980</td> <td></td> <td>Extension for response within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>219</td> <td>320 160</td> <td></td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>220</td> <td>320 160</td> <td></td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>221</td> <td>280 140</td> <td></td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>238</td> <td>1,510 1,510</td> <td></td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>240</td> <td>110 55</td> <td></td> <td>Petition to revive - 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late filing fee or oath		127	227	50 25		Surcharge - late provisional filing fee or cover sheet		139	239	130 130		Non-English specification		147	247	2,520 2,520		For filing a request for <i>ex parte</i> reexamination		112	212	920 920		Requesting publication of SIR prior to Examiner action		113	213	1,840 1,840		Requesting publication of SIR after Examiner action		115	215	110 55		Extension for response within first month		116	216	400 200		Extension for response within second month		117	217	920 460		Extension for response within third month		118	218	1,440 720		Extension for response within fourth month		128	228	1,960 980		Extension for response within fifth month		119	219	320 160		Notice of Appeal		120	220	320 160		Filing a brief in support of an appeal		121	221	280 140		Request for oral hearing		138	238	1,510 1,510		Petition to institute a public use proceeding		140	240	110 55		Petition to revive - unavoidably		141	241	1,280 640		Petition to revive - unintentionally		142	242	1,280 640		Utility issue fee (or reissue)		143	243	460 230		Design issue fee		144	244	620 310		Plant issue fee		122	222	130 130		Petitions to the Commissioner		123	223	50 50		Petitions related to provisional applications		126	226	180 180		Submission of Information Disclosure Stmt		581	281	40 40		Recording each patent assignment per property (times number of properties)		146	246	740 370		Filing a submission after final rejection (37 CFR 1.129(a))		149	249	740 370		For each additional invention to be examined (37 CFR 1.129(b))		179	279	740 370		Request for Continued Examination (RCE)		169	269	900 900		Request for expedited examination of a design application	
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SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	William Thomas Babbitt, Reg. No. 39,591			Reg. Number	
Signature		Date	12/21/01	Deposit Account User ID	02-2666

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

12.28.01

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Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 5892P001

First Inventor or Application Identifier Edward L. Boudreau

Title OIL RECOVERY COMPOSITION AND METHOD

Express Mail Label No. EL651821275US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification *Total Pages*
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) *Total Sheets*

5. Oath or Declaration *Total Pages*
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CFR)
b. ☐ Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ Paper
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO - 1449 Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Nonpublication Request under 35 USC 122(b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent

17. ☐ Other:

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____/_____

Prior application Information: Examiner _____

Group/Art Unit: _____

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number of Bar Code Label



08791

or ☐ Correspondence address below

Name

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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Los Angeles

State

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Zip Code

90025

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U.S.A.

Telephone

(310) 207-3800

Fax

(310) 820-5988

Name (Print/Type)

William Thomas Babbitt, Reg. No. 39,591

Signature

William T. Babbitt

Date

12/21/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) **493.00**

Complete if Known

Application Number
Filing Date 12/21/01
First Named Inventor Edward L. Boudreau
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METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

- ☒ Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17

- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	201	740 370	Utility filing fee	\$370	
106	206	330 165	Design filing fee		
107	207	510 255	Plant filing fee		
108	208	740 370	Reissue filing fee		
114	214	160 80	Provisional filing fee		

SUBTOTAL (1) (\$) **370.00**

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
29	-20** = 9	X \$9.00 =	81.00
4	-3** = 1	X \$42.00 =	42.00
Multiple Dependent			

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple Dependent claim
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) **123.00**

**or number of previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	205	130 65	Surcharge - late filing fee or oath		
127	227	50 25	Surcharge - late provisional filing fee or cover sheet.		
139	139	130 130	Non-English specification		
147	147	2,520 2,520	For filing a request for <i>ex parte</i> reexamination		
112	112	920 920	Requesting publication of SIR prior to Examiner action		
113	113	1,840 1,840	Requesting publication of SIR after Examiner action		
115	215	110 55	Extension for response within first month		
116	216	400 200	Extension for response within second month		
117	217	920 460	Extension for response within third month		
118	218	1,440 720	Extension for response within fourth month		
128	228	1,960 980	Extension for response within fifth month		
119	219	320 160	Notice of Appeal		
120	220	320 160	Filing a brief in support of an appeal		
121	221	280 140	Request for oral hearing		
138	138	1,510 1,510	Petition to institute a public use proceeding		
140	240	110 55	Petition to revive - unavoidably		
141	241	1,280 640	Petition to revive - unintentionally		
142	242	1,280 640	Utility issue fee (or reissue)		
143	243	460 230	Design issue fee		
144	244	620 310	Plant issue fee		
122	122	130 130	Petitions to the Commissioner		
123	123	50 50	Petitions related to provisional applications		
126	126	180 180	Submission of Information Disclosure Stmt		
581	581	40 40	Recording each patent assignment per property (times number of properties)		
146	246	740 370	Filing a submission after final rejection (37 CFR 1.129(a))		
149	249	740 370	For each additional invention to be examined (37 CFR 1.129(b))		
179	279	740 370	Request for Continued Examination (RCE)		
169	169	900 900	Request for expedited examination of a design application		

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Typed or Printed Name William Thomas Babbitt, Reg. No. 39,591
Signature *William T. Babbitt*

Date 12/21/01

Complete (if applicable)

Reg. Number
Deposit Account User ID 02-2666

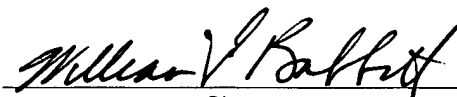
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122 (B)(2)(B)(i)	First Named Inventor	Edward L. Boudreau
	Title	OIL RECOVERY COMPOSITION AND METHOD
	Attorney Docket No.	5892P001

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12/21/01

Date



Signature

William Thomas Babbitt, Reg. No. 39,591

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**